

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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In re Ap	plication of:)			
_	Mueller et al.)	Art Unit:	2828	
Application No: 10/810,481		ý	Confirmation No.: 9785		
Filed:	March 26, 2004)		on 1.o > 7.00	
For:	Multicolored Lighting Method and Apparatus)	Examiner:	Haissa Philogene	
)			

CERTIFICATE OF MAILING

I hereby certify that the following paper is being deposited with the United States Postal Service as first-class mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on June 10, 2005.

John Barretto

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

INFORMATION-DISCLOSURE STATEMENT

Sir/Madam:

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, the Applicants request consideration of this Information Disclosure Statement.

PART I: Compliance with 37 C.F.R. §1.97

This Information Disclosure Statement has been filed before the mailing of a first Office Action after the filing of a Request for Continued Examination Under 37 C.F.R. §1.114.

No fee is required.

PART II: Information Cited

The Applicants hereby make of record in the above-identified application the information listed on the attached form PTO-1449 (modified). The order of presentation of the references should not be construed as an indication of the importance of the references.

The Applicants also wish to bring to the attention of the Examiner the following information:

Pursuant to MPEP §2001.06(c), Applicants bring to the Examiner's attention that two U.S. patents relating to the present application have been involved in litigation. These patents include U.S. Patent No. 6,016,038 and U.S. Patent No. 6,150,774, assigned to Color Kinetics, Inc. The present application is entitled to the benefit of at least one of these two patents, under 35 U.S.C. §120.

The aforementioned patents both are involved in the litigation Case No. 02 CV 11137MEL in the United States District Court, District of Massachusetts.

Applicants acknowledge the duty to disclose to the U.S. Patent Office material information that may arise from these litigations, including evidence of possible prior public use or sales, questions of inventorship, prior art, allegations of "fraud," "inequitable conduct," and "violation of duty of disclosure," or any assertion which is contradictory to assertions made to the Examiner during prosecution of the present application.

To this end, Applicants wish to make of record in the present application the following documents from the litigation, together with all exhibits filed therewith:

- Color Kinetics v. Super Vision International, Inc., "Super Vision International, Inc's Notice of Filing Declaration of Steve Faber," United States District Court, District of Massachusetts, Case No. 02 CV 11137 MEL.
- Color Kinetics v. Super Vision International, Inc., "Super Vision International, Inc.'s Notice of Filing Declaration of Russell Martin," United States District Court, District of Massachusetts, Case No. 02 CV 11137 MEL.

- Color Kinetics v. Super Vision International, Inc., "Super Vision International, Inc's Notice of Filing Declaration of Tam Bailey," United States District Court, District of Massachusetts, Case No. 02 CV 11137 MEL.
- Color Kinetics v. Super Vision International, Inc., "Super Vision International, Inc.'s Notice of Filing Declaration of Peter Micha," United States District Court, District of Massachusetts, Case No. 02 CV 11137 MEL.
- Color Kinetics v. Super Vision International, Inc., "Super Vision International, Inc.'s Notice of Filing Declaration of Brett Kingstone Part I," United States District Court, District of Massachusetts, Case No. 02 CV 11137 MEL.
- Color Kinetics v. Super Vision International, Inc., "Super Vision International, Inc's Notice of Filing Declaration of Brett Kingstone Part II," United States District Court, District of Massachusetts, Case No. 02 CV 11137 MEL.
- Color Kinetics v. Super Vision International, Inc., "Super Vision International, Inc's Notice of Filing Declaration of Brett Kingstone Part III," United States District Court, District of Massachusetts, Case No. 02 CV 11137 MEL.
- Color Kinetics v. Super Vision International, Inc., "Super Vision International, Inc's Notice of Filing Declaration of Brett Kingstone Part IV," United States District Court, District of Massachusetts, Case No. 02 CV 11137 MEL.
- Color Kinetics v. Super Vision International, Inc., "Super Vision International, Inc's Notice of Filing Declaration of Brett Kingstone Part V," United States District Court, District of Massachusetts, Case No. 02 CV 11137 MEL.
- Color Kinetics v. Super Vision International, Inc., "Super Vision International, Inc's Notice of Filing Declaration of Brett Kingstone Part VII," United States District Court, District of Massachusetts, Case No. 02 CV 11137 MEL.
- Color Kinetics v. Super Vision International, Inc., "Super Vision International, Inc's Notice of Filing Declaration of Brett Kingstone Part VIII," United States District Court, District of Massachusetts, Case No. 02 CV 11137 MEL.
- Color Kinetics v. Super Vision International, Inc., "Super Vision International, Inc's Notice of Filing Declaration of Brett Kingstone Part IX," United States District Court, District of Massachusetts, Case No. 02 CV 11137 MEL.

- Color Kinetics v. Super Vision International, Inc., "Super Vision International, Inc's Notice of Filing Declaration of Brett Kingstone Part X," United States District Court, District of Massachusetts, Case No. 02 CV 11137 MEL.
- Color Kinetics v. Super Vision International, Inc., "Super Vision International, Inc's Notice of Filing Declaration of Brett Kingstone Part XI," United States District Court, District of Massachusetts, Case No. 02 CV 11137 MEL.

Applicants make no representation that the above-identified document(s) provide information material to the examination of the present application. Applicants make these documents of record in the present application primarily for completeness to facilitate the Examiner's understanding of the nature of the litigation. The Examiner is urged to form his or her own conclusion regarding the relevance of the information contained in these documents.

PART III: Additional Remarks

Documents cited on the attached form PTO-1449 (modified) are enclosed unless otherwise indicated on the attached form PTO-1449 (modified). It is respectfully requested that:

- 1. The Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims;
- 2. The enclosed form PTO-1449 be signed by the Examiner to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application;
- 3. The citations for the information be printed on any patent which issues from this application.

By submitting this Information Disclosure Statement, the Applicants make no representation that a search has been performed, of the extent of any search performed, or that more relevant information does not exist.

By submitting this Information Disclosure Statement, the Applicants make no representation that the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

By submitting this Information Disclosure Statement, the Applicants make no representation that the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.

Notwithstanding any statements by the Applicants, again the Examiner is urged to form his own conclusion regarding the relevance of the cited information.

An early and favorable action is hereby requested.

Please charge any additional fee occasioned by this paper to our Deposit Account No. 06-1448, Reference CKB-082.03.

Respectfully submitted,

Date: June //, 2005

Customer No: 25181

Patent Group

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IN Use)	TION DISCLOSURE OF A N AN APPLICATION c several sheets if necessary)	3 7005 App.	icant Per et al.					
(<i>''')</i>	N Fab	g Date ch 26, 2004		Group Art Unit 2828			
	ZA TI		.S. PATENT DOCUMENTS	· ·				
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING D IF APPROP		
	5,418,697	05/23/1995	Chiou					
	5,521,708	05/28/1996	Beretta					
	5,532,848	07/02/1996	Beretta					
	5,607,227	03/04/1997	Yasumoto et al.					
	5,653,529	08/05/1997	Spocharski					
	5,831,686	11/03/1998	Beretta					
	5,949,581	09/07/1999	Kurtenbach et al.					
	6,056,420	05/02/2000	Wilson et al.					
	6,335,548	01/01/2002	Roberts					
•	6,357,893	03/19/2002	Belliveau					
	6,441,943	08/27/2002	Roberts					
	6,618,031	09/09/2003	Bohn					
	. 6,676,284	01/13/2004	Wynne Willson					
	6,744,223	06/01/2004	LaFlamme	_				
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		FOR	EIGN PATENT DOCUMENTS	S				
	DOCUMENT NUMBER	DATE	COUNTRY .	CLASS	SUBCLASS	Translati YES	ion NO	
	04-015685 (Abstract Only)	21.01.1992	JP					
	2001-153690	08.06.2001	JP			Yes		
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages Etc.)						2.)		
PROCTOR, P., "Bright Lights, Big Reliability," Aviation Week and Space Technology, September 5, 1994, vol. 141, no. 10. pg. 29, Abstract Only.								
	POLLACK, A., "The Little Light Light That Could," The New York Times, April 29, 1996, Business/Financial Desk, Section D, page 1, col. 2, Abstract Only.							
	CHINNOCK, C., "Blue Laser, Bright Future," Byte, August 1995, vol. 20, Abstract Only.							
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	Electronics, vol. 67, no. 20, pg. A4, Abstract Only.							
	Color Kinetics v. Super V District Court, District of	ision Internationa Massachusetts, C	II, Inc., "Super Vision International, Inc's Not ase No. 02 CV 11137 MEL.	ice of Filing D	Declaration of Steve Fat	er," United Sta	ites	

Form PTO-1449 INFORMATION DISCLOSURE CITATION		Docket Number (Optional) CKB-082.03		Application Number 10/810,481	Silect Fage 2 of 2			
IN AN APPLICATION (Use several sheets if necessary)		Applicant Mueller et al.		10/010,401				
		Filing Date March 26, 2004		Group Art Unit 2828				
	Color Kinetics v. Super Vision Intern District Court, District of Massachus	national, Inc., "Super Vision International	al, Inc.'s Notice of Filin		fartin," United States			
	Color Kinetics v. Super Vision International, Inc., "Super Vision International, Inc's Notice of Filing Declaration of Tam Bailey," United State District Court, District of Massachusetts, Case No. 02 CV 11137 MEL.							
	Color Kinetics v. Super Vision International, Inc., "Super Vision International, Inc.'s Notice of Filing Declaration of Peter Micha," United States District Court, District of Massachusetts, Case No. 02 CV 11137 MEL.							
	Color Kinetics v. Super Vision Interi United States District Court, District	national, Inc., "Super Vision International of Massachusetts, Case No. 02 CV 1113	al, Inc.'s Notice of Filir 37 MEL.	ng Declaration of Brett King	gstone Part I,"			
	Color Kinetics v. Super Vision Intern United States District Court, District	national, Inc., "Super Vision International of Massachusetts, Case No. 02 CV 1113	il, Inc's Notice of Filin 37 MEL.	g Declaration of Brett King	stone Part II,"			
	Color Kinetics v. Super Vision Intern United States District Court, District	national, Inc., "Super Vision International of Massachusetts, Case No. 02 CV 1113	ıl, Inc's Notice of Filin 37 MEL.	g Declaration of Brett King	stone Part III,"			
	Color Kinetics v. Super Vision Intern United States District Court, District	national, Inc., "Super Vision Internationa of Massachusetts, Case No. 02 CV 1113	il, Inc's Notice of Filing 37 MEL.	g Declaration of Brett King	stone Part IV,"			
	Color Kinetics v. Super Vision Interr United States District Court, District	national, Inc., "Super Vision International of Massachusetts, Case No. 02 CV 1113	ıl, Inc's Notice of Filing 37 MEL.	g Declaration of Brett King	stone Part V,"			
	Color Kinetics v. Super Vision Interr United States District Court, District	national, Inc., "Super Vision Internationa of Massachusetts, Case No. 02 CV 1113	ıl, Inc's Notice of Filing 77 MEL.	g Declaration of Brett King	stone Part VII,"			
	Color Kinetics v. Super Vision Interr United States District Court, District	national, Inc., "Super Vision Internationa of Massachusetts, Case No. 02 CV 1113	l, Inc's Notice of Filing 7 MEL.	3 Declaration of Brett King	stone Part VIII,"			
	Color Kinetics v. Super Vision Interr United States District Court, District	national, Inc., "Super Vision Internationa of Massachusetts, Case No. 02 CV 1113	1, Inc's Notice of Filing 7 MEL.	3 Declaration of Brett King.	stone Part IX,"			
	Color Kinetics v. Super Vision Intern United States District Court, District	national, Inc., "Super Vision Internationa of Massachusetts, Case No. 02 CV 1113	l, Inc's Notice of Filing 7 MEL.	3 Declaration of Brett Kings	stone Part X,"			
	Color Kinetics v. Super Vision Intern United States District Court, District	national, Inc., "Super Vision Internationa of Massachusetts, Case No. 02 CV 1113	l, Inc's Notice of Filing 7 MEL.	g Declaration of Brett Kings	stone Part XI,"			
EXAMINER		DA	TE CONSIDERED					
EXAMINER: In conformance and	nitial if citation considered, whether o	r not citation is in conformance with s form with next communication to t	MPEP § 609; Drav he applicant.	v line through citation if	not in			